



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Allan Balmain et al.
Serial No.: 09/051,159
Filed: April 2, 1998
U.S. National Phase of PCT/GB96/02416
Filed: October 2, 1996

For: Antitumour Vector Constructs And Methods

Attorney Docket No.: CCI-005US

Group Art Unit: 2815

Examiner: Not Yet Assigned

Assistant Commissioner for Patents and Trademarks
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

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REQUEST FOR CORRECTION OF FILING RECEIPT

Dear Sir:

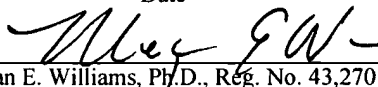
The Filing Receipt for the above-identified patent application incorrectly lists the Filing Date as 1/13/98. The correct Filing Date is 1/13/99 as evidenced by the enclosed "Notification of Acceptance Of Application Under 35 U.S.C. 371 and 37 CFR 1.494 and 1.495" (Form PCT/DO/EO/903). A copy of the Filing Receipt with the changes noted thereon is submitted herewith. Please make the correction and issue a new filing receipt.

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I hereby certify that this correspondence is deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents and Trademarks, Washington, DC 20231, Attention: Office of Initial Patent Examination, Customer Service Center on:

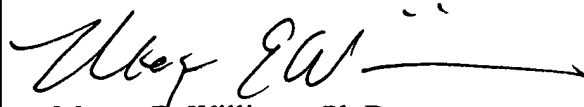
October 4, 2000

Date


Megan E. Williams, Ph.D., Reg. No. 43,270

Respectfully submitted,

LAHIVE & COCKFIELD, LLP


Megan E. Williams, Ph.D.
Reg. No. 43,270



UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/051,159	01/13/1998 01/13/1999	2815	574	CCI-005US	14	24	1

Amy E Mandragouras
Lahive & Cockfield
28 State Street
Boston, MA 02109



FILING RECEIPT



OC00000005403342

Date Mailed: 09/15/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Allan Balmain, Tiburon, CA ;
Jingde Zhu, Glasgow, UNITED KINGDOM;

Continuing Data as Claimed by Applicant

THIS APPLICATION IS A 371 OF PCT/GB96/02416 10/02/1996

Foreign Applications

UNITED KINGDOM 9520079.6 10/02/1995
UNITED KINGDOM 9616685.5 08/08/1996

If Required, Foreign Filing License Granted 09/15/2000

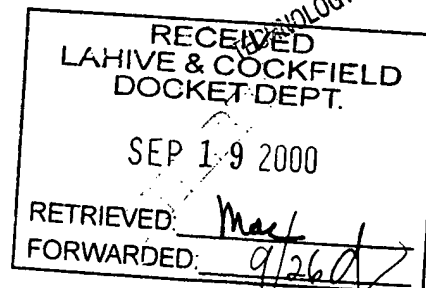
Title

Antitumour vector constructs and methods

Preliminary Class

435

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Data entry by : ORDENEZ, MARTA

Team : OIPE

Date: 09/15/2000





**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

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NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

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U.S. APPLICATION NO. 1,159	BALMAIN FIRST NAMED APPLICANT	ATTY. DOCKET NO. CCI-005US
5071		INTERNATIONAL APPLICATION NO. PCT/GB96/02416
LA. FILING DATE 10/02/96		PRIORITY DATE 10/02/95
DATE MAILED: 09/14/00		

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LAHIVE & COCKFIELD
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BOSTON MA 02109

NOTIFICATION OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C. 371
AND 37 CFR 1.494 OR 1.495

1. The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as ☐ a Designated Office (37 CFR 1.494), ☒ an Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

2. The United States Application Number assigned to the application is shown above and the relevant dates are:

35 U.S.C. 102(e) DATE

DATE OF RECEIPT OF
35 U.S.C. 371 REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. THE DATE APPEARING ON THE FILING RECEIPT AS THE "FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371(C) REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE. The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363). Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

3. ☐ A request for immediate examination under 35 U.S.C. 371(f) was received on _____ and the application will be examined in turn.

4. The following items have been received:

☒ U.S. Basic National Fee.

☒ Copy of the international application in:

☐ A non-English language.
☒ English.

☐ Translation of the international application into English.

☒ Oath or Declaration of inventor(s) for DO/EO/US.

☐ Copy of Article 19 amendments. ☐ Translation of Article 19 amendments into English.

The Article 19 amendments ☐ have ☐ have not been entered.

☒ The International Preliminary Examination Report in English and its Annexes, if any.

☐ Copy of the Annexes to the International Preliminary Examination Report (IPER).

☐ Translation of Annexes to the IPER into English.

The Annexes ☐ have ☐ have not been entered.

☒ Preliminary amendment(s) filed APR 2 1998 and _____

☐ Information Disclosure Statement(s) filed _____ and _____

☐ Assignment document.

☐ Power of Attorney and/or Change of Address.

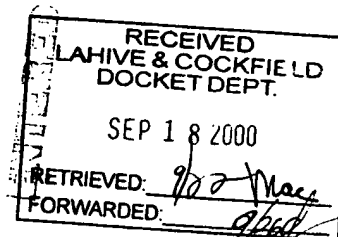
☐ Substitute specification filed _____

☐ Verified Statement Claiming Small Entity Status.

☒ Priority Document.

☒ Copy of the International Search Report ☒ and copies of the references cited therein.

☒ Other: 306



Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)



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* Admitted in NY only
** Admitted in MI only
*** Passed the Patent Bar Examination

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October 4, 2000

Assistant Commissioner for Patents and Trademarks
Washington, D.C. 20231

Attention: Office of Initial Patent Examination
Customer Service Center

Re: U.S. Patent Application Serial No. 09/051,159
For: *Antitumour Vector Constructs And Methods*
Filed: April 2, 1998
U.S. National Phase of PCT Application No.: PCT/GB96/02416 Filed October 2, 1996
Applicant: Allan Balmain and Jingde Zhu
Attorney Docket No.: CCI-005US

Dear Sir:

I enclose herewith for filing in the above-identified application the following:

1. Request for Correction of Filing Receipt;
2. A copy of the Filing Receipt (with changes noted thereon); and
3. A prepaid receipt acknowledgment postcard.

No fees are believed to be due in connection with the filing of Request for Correction of Filing Receipt. However, please charge any necessary fees to our Deposit Account No. 12-0080. A duplicate of this sheet is enclosed.

I hereby certify that this correspondence is deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents and Trademarks, Washington, DC 20231, Attention: Office of Initial Patent Examination, Customer Service Center on:

October 4, 2000

Date

Megan E. Williams, Ph.D., Reg. No. 43,270

Respectfully submitted,

LAHIVE & COCKFIELD, LLP

Megan E. Williams, Ph.D.
Reg. No. 43,270

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